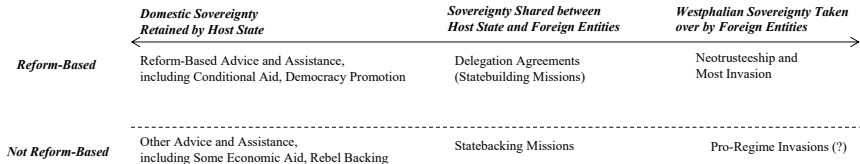


Statebuilding by Consent

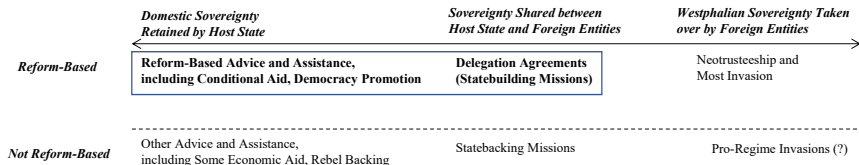
Aila M. Matanock
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May 2020

Statebuilding can take many different forms.



Statebuilding where foreign entities enter with host state consent are an important category of statebuilding missions.



Delegation agreements (DAs) are designed to strengthen security and reform states.



Scoop



Jorge Dan Lopez/Reuters

DAs are a common form of cooperative statebuilding.

- Invited interventions allow foreign troops, police, investigators, prosecutors, or judges temporary authority to implement laws or policies in host states to another sovereign entity.
 - Security institutions: "authority to use, or order the use of, force...to protect the state and its citizens" (Chalmers 2000)
- Delegation agreements are a subset with the added authority to change state institutions.
- In Sub-Saharan Africa, 1980-2015, 80 percent have some type of invited intervention, and 43 percent hosted a statebuilding delegation agreement.

Drawing on:

Matanock AM. 2014. Governance Delegation Agreements: Shared Sovereignty as a Substitute for Limited Statehood. *Governance* 27: 589-612.

Matanock AM. in progress. *Inviting Intervention: Statebuilding by Delegating Security*. Book Manuscript.

Much less invasive forms of intervention also help build states.



UN Photo/J Bleibtreu



OAS Photo/Unknown



CDC Photo/Unknown

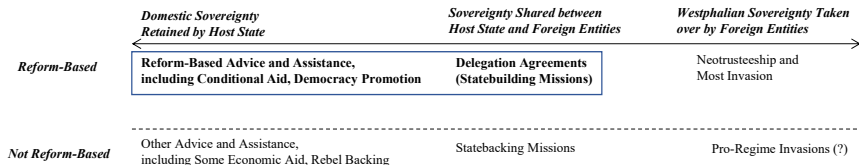
International actors use similar tools to enforce domestic deals across issue areas.

- Monitoring: International actors identify clear standards for compliance and check behavior especially at important moments for implementation.
 - Elections and other *systematized spotlights*, where institutions repeatedly draw international actors' attention, are useful (especially Matanock 2017).
- Incentives conditioned on compliance: Economic, legal, and political benefits provided that can be withdrawn for violations (especially Donno 2013).

Drawing on:

Matanock AM. 2020. How International Actors Help Enforce Domestic Deals. *Annual Review of Political Science* 23(1).

Statebuilding where foreign entities enter with host state consent are an important category of statebuilding missions.



When Does Statebuilding by Consent Occur — and Work?

The host state's consent is crucial in these cases.

- Delegation agreements are likely when leaders face particular challenges that make constraints useful:
 - When leaders have already signed on to share power with their opponents (and both want to constrain each other);
 - When leaders face imminent loss of power (constrain opponents)
 - When outsider leaders seek to produce a new order (constrain all)
 - Or, when outside actors demand change (assuage their concerns).

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- Monitoring and conditional aid are likely when governments and their opponents seek to overcome particular commitment problems (*reversion problems*).
- State receptiveness and other factors also likely matter:
 - States are more responsive to conditional incentives when more dependent on international trade and foreign aid (e.g. Girod 2012).
 - Evidence on regime type, etc., is mixed.
 - Backlash can occur across contexts.

International actors also must be motivated.

- International actors seem to engage when they face disproportionate consequences for disorder.
 - They are willing to engage and punish violations when they care enough and hold sufficient leverage.
- But they need to not have such strong ties to the incumbent that they systematically favor it.
- This defines a *Goldilocks condition* according to Matanock 2020.

This research agenda moving forward:

- When are different enforcement mechanisms used and — related — how well do they work?
- Are there differences across types of consent-based missions and even issue areas (reversion problems in civil war versus repression)?
- How does a transition work and what happens in the longer-term?
 - There are limitations of consent-based statebuilding in terms of shaping perceptions of states (see Matanock and Garbiras-Diaz 2020 on an experiment on Guatemala.)
- What does the changing international system mean for this type of involvement?

For further reading on statebuilding by consent, please see:

- Matanock Aila M. 2014. Governance Delegation Agreements: Shared Sovereignty as a Substitute for Limited Statehood. *Governance* 27: 589-612.
- Matanock Aila M. 2020. How International Actors Help Enforce Domestic Deals. *Annual Review of Political Science* 23(1).
- Matanock Aila M. in progress. *Inviting Intervention: Statebuilding by Delegating Security*. Book Manuscript.

Comments most appreciated (matanock@berkeley.edu).

Thank you!