STATE AND MARKET FORMATION

Political Science 474
Winter 1998

Prof. John F. Padgett
Pick 512

Asterisk items are required reading: on reserve at Regenstein.
Course requirements: (1) one written memo, on readings of the week, for class discussion; and (2) research paper, details to be negotiated with professor. Proposal for paper due fifth week. Memos of 5-10 pages are due 9 AM on Monday in slot outside Pick 502. [Enough xerox copies should be provided for everyone to pick one up before class.]

Week 1. INTRODUCTION: COUPLING THE EMERGENCES OF POLITIES AND ECONOMIES

(a) Economics
Colin Renfrew, "Trade as Action at a Distance," in Colin Renfrew, Approaches to Social Archaeology.
*Clifford Geertz, Peddlers and Princes: Social Development and Economic Change in Two Indonesian Towns.
Fernand Braudel, The Wheels of Commerce.
*John F. Padgett, "Memo to founding workshop on 'State and Market Formation'," Santa Fe Institute, unpubl., 1997.

(b) Politics
Charles Tilly (ed.), The Formation of National States in Europe.
Charles Tilly, Coercion, Capital and European States, A.D. 990-1990.
*Thomas Ertman, Birth of the Leviathan: Building States and Regimes in Medieval and Early Modern Europe, chap. 1.
Week 2. ECONOMIC AND POLITICAL ANTHROPOLOGY

(a) Economics
Maurice Godelier and Marilyn Strathern (eds.). Big Men and Great Men: Personifications of Power in Melanesia.
Stanley Udy, Work in Traditional and Modern Society.

(b) Politics
Meyer Fortes, The Dynamics of Clanship among the Tallensi.
E.R. Leach, Political Systems of Highland Burma.
E. Service, Primitive Social Organization.
*Timothy Earle, How Chiefs Come to Power: the Political Economy in Prehistory.
Patrick Kirch, The Evolution of the Polynesian Chiefdoms.

Week 3. ANCIENT CIVILIZATIONS

(a) Mesopotamia
*Michael Mann, The Sources of Social Power, chapters 1-5.
Robert McAdams, Heartland of Cities.
Charles Keith Maisels, The Emergence of Civilization.

(b) Inkas and Aztecs
John Murra, The Economic Organization of the Inka State.
*Terence D'Altroy, Provincial Power in the Inka Empire, chapters 2, 8.
Ross Hassig, Trade, Tribute, and Transportation: The 16th-century Poetitical Economy of the Valley of Mexico.
Week 4. GREECE AND ROME

(a) Politics
Oswyn Murray, Early Greece.
Victor Ehrenberg, The Greek State.
M.T.W. Arnheim, Aristocracy in Greek Society.
*E. Badian, Foreign Clientelae, 264-70 B.C. .
Lily Ross Taylor, Party Politics in the Age of Caesar.
*Keith Hopkins, Conquerers and Slaves.
Richard Saller, Personal Patronage under the Early Empire.

(b) Economics
*M.M. Austin and P. Vidal-Naquet, Economic and Social History of Ancient Greece.
Moses Findley, Economy and Society in Ancient Greece.
Moses Findley, The Ancient Economy.
*Peter Garnsey and Richard Saller, The Roman Empire: Economy, Society and Culture.
Peter Garnsey, Keith Hopkins and C.R. Whittaker (eds.), Trade in the Ancient Economy.
Paul Veyne, Bread and Circuses.

Week 5. THE NEAR EAST AND ITS TRADE

(a) Politics
Roy Mottahedeh, Loyalty and Leadership in an Early Islamic Society.
*Stanford Shaw, History of the Ottoman Empire and Modern Turkey.
Karen Barkey, Bandits and Bureaucrats: The Ottoman Route to State Centralization.

(b) Economics
Ira Lapidus, Muslim Cities in the Later Middle Ages.
*Eliyahu Ashtor, A Social and Economic History of the Near East in the Middle Ages.
Eliyahu Ashtor, Levant Trade in the Later Middle Ages.
Halil Inalcik, An Economic and Social History of the Ottoman Empire.
Week 6. **ITALIAN CITY-STATES**

(a) Economics
Armando Sapori, *The Italian Merchant in the Middle Ages.*
Federigo Melis, *Aspetti della vita economica medievale.*
Raymond de Roover, *The Rise and Decline of the Medici Bank, 1397-1494.*
Stephan Epstein, *An island for itself: Economic development and social change in late medieval Sicily.*

(b) Politics
*Daniel Waley, *The Italian City-Republics.*
Frederic Lane, *Venice: A Maritime Republic.*
Brian Pullam, *Rich and Poor in Renaissance Venice.*

Week 7. **COLONIALISM AND THE DISCOVERY: THE MODERN WORLD-SYSTEM?**

(a) Companies and Trade
Jane Schneider, "Was There a Pre-capitalist World-System?" *Peasant Studies* (January 1977).
C.R. Boxer, *The Portuguese Seaborne Empire, 1415-1825.*
J.H. Parry, *The Spanish Seaborne Empire.*
*Niels Steensgaard, The Asian Trade Revolution of the Seventeenth Century.*
Holden Furber, *Rival Empires of Trade in the Orient, 1600-1800.*
L. Busse and F. Gaastra (eds.), *Companies and Trade.*
(b) Colonialism
Eric Wolfe, Europe and the People without History.
M.N. Pearson, Merchants and Rulers in Gujarat: The Response to
the Portugese in the Sixteenth Century.
Om Prakash, The Dutch East India Company and the Economy of
Bengal, 1630-1720.
*George Winius and Marcus Vink, The Merchant-Warrior Pacified:
The Dutch East India Company and its Changing Political
Economy in India.
Jean Gelman Taylor, The Social World of Batavia: European and
Eurasian in Dutch Asia.
Sinnappah Arasaratnam, Merchants, Companies and Commerce on
the Coromandel Coast, 1650-1740.
Kumkum Chatterjee, Merchants, Politics and Society in Early
Modern India: Bihar, 1733-1820.

Week 8. HOLLAND AND ENGLAND: DOMESTIC EFFECTS

(a) Economics
Robert Brenner, "The Social Basis of English Commercial
Expansion, 1550-1650," Journal of Economic History
(1972).
Richard Lachmann, From Manor to Market: Structural Change in
England, 1536-1640.
*Bruce Carruthers, The City of Capital: Politics and Markets
John Brewer, The Sinews of Power: War, Money and the English
State, 1688-1783.

(b) Politics
Julia Adams, unpublished dissertation on marriage and politics
within the Dutch elite, University of Wisconsin.
*Robert Brenner, Merchants and Revolution: Commercial Change,
Political Conflict, and London Overseas Traders, 1550-
1653.
*J.H. Plumb, The Growth of Political Stability in England,
1675-1725.
John Brewer, Party Ideology and Popular Politics at the
Accession of George III.
Week 9. ABSOLUTISM AND MERCANTILISM

*Charles Tilly (ed.), The Formation of National States in Western Europe, chapters 4, 7.
Perry Anderson, Lineages of the Absolutist State.
Thomas Ertman, Birth of the Leviathan: Building States and Regimes in Medieval and Early Modern Europe.

(a) France
Roland Mosnier, The Institutions of France under the Absolutist Monarchy.
Franklin Ford, Robe and Sword.
William Beik, Absolutism and Society in Seventeenth-century France.
Vivian Gruder, The Royal Provincial Intendents.
Julian Dent, Crisis in Finance: Crown, Financiers and Society in Seventeenth Century France.
*Louis Bergeron, France under Napolean.

Lars Magnusson (ed.), Mercantilist Economics.
Sheilagh Ogilvie and Markus Cerman (eds.), European Proto-industrialization.

(b) Prussia
*Hans Rosenberg, Bureaucracy, Aristocracy and Autocracy.
Gordon Craig, The Politics of the Prussian Army.

*Richard Biernacki, The Fabrication of Labor: Germany and Britain, 1640-1914.

(c) Russia
Jerome Blum, Lord and Peasant in Russia.
Steven Hoch, Serfdom and Social Control in Russia.
Richard Pipes, Russia under the Old Regime.
J. Michael Hittle, The Service City: State and Townsmen in Russia.
Merle Fainsod, Smolensk under Soviet Rule.
Week 10. **19th-CENTURY AMERICAN POLITICAL ECONOMY**

(a) Politics
   *Richard Bensel, *Yankee Leviathan.*
   James Sundquist, *Dynamics of the Party System.*
   Scott McNall, *The Road to Rebellion: Class Formation and Kansas Populism, 1865-1900.*

(b) Economics