# Remnants and Recoverability

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### Introduction

both of which have been, and currently are, analyzed as deletion rules. This talk addresses two questions that involve the relation between ellipsis and movement,

The first question is a theoretical one:

What is the right characterization of "recoverability"?

- Why do we need such a notion?
- How does it play out in different contexts, in particular movement and ellipsis?
- constraints (e.g., the licensing conditions on deletion, as in Sag 1976 or the interaction of "faithfulness" constraints)? How should it be formalized? Is it a "real" constraint, or is it a by-product of other
- How should it be implemented in the grammar? Does recoverability require nonautonomy of the syntax? (Should we care?)

The second question is more empirical:

What is the right account of "remnant ellipsis" (RE)?

- Assuming that at least some examples of RE involve movement of the remnant(s) otherwise impossible? out of the elided constituent, why does ellipsis (deletion) license movements that are
- (1) ā The Red Sox tallied more errors than [CP runs; [Pr they tallied runs;]
- Þ. \* The Red Sox tallied more errors than  $[c_P \text{ runs}_i]_{IP}$  they tallied  $\frac{runs_i}{runs_i}$
- C The Red Sox tallied more errors than [cr they tallied runs]

My (modest) goal is to present:

# A (relatively complete) answer to question 2

- RECOVERABILITY is a syntactic constraint.
- Well-formedness is calculated in terms of optimal constraint satisfaction.
- RECOVERABILITY >> STAY
- Therefore, movement in RE is licensed because the output best satisfies the relevant constraints: STAY may be violated to satisfy RECOVERABILITY.

# Some (very partial) answers to question 1

- If the analysis of RE is correct, then recoverability is a grammatical constraint.
- RECOVERABILITY can be formalized as....
- RECOVERABILITY can be checked locally:
- In ellipsis, because of the "E-feature" (Merchant 1999)
- In movement, because of the copy operation (Chomsky 1965)

### Remnant Ellipsis

least one XP that normally occurs inside the elided constituent remains in the phonological Remnant ellipsis: constructions in which some constituent (IP, VP, NP) is deleted AND at

(2) 
$$[XP_1 \dots XP_n [n_{VP/NP} \dots t_1 \dots t_n \dots]]$$

Examples: gapping, pseudogapping, stripping, bare argument ellipsis, sluicing in covert wh-movement languages,  $\dots$ ?

### 2.1 The problem

which is then deleted under (LF) identity with some antecedent IP/VP/NP (see e.g. Sag The standard analysis of RE is that the remnant XP(s) are raised out of the IP/VP/NP, 1976; Kuno 1981; Pesetsky 1982).

grammatical without ellipsis, and apparently theoretically unmotivated Thee central problem with this analysis is that it requires movements that are often un-

#### Gapping

At least two remnants; no clausal material

- 3 బ I suspect they have more to fear from us than we from them. (from Mars
- ...than we<sub>1</sub> [from them]<sub>2</sub>  $\frac{t_1}{t_1}$  have to fear  $t_2$

Ď.

c. ??...than we from them have to fear.

(4)

- a gazing upon each other; as if in the Parsee Ahab saw his forethrown shadow, starlight; Ahab in his scuttle, the Parsee by the mainmast; but still fixedly At times, for longest hours, without a single hail, they stood far parted in the in Ahab the Parsee his abandoned substance. (from Moby Dick)
- Ď. ...[in Ahab]<sub>1</sub> [the Parsee]<sub>2</sub> [his abandoned substance]<sub>3</sub>  $\frac{1}{10}$   $\frac{1}{12}$   $\frac{1}{12}$   $\frac{1}{12}$
- c. \*...in Ahab the Parsee his abandoned substance saw.
- 5 ည and the child, and me my namesake and the donkey. (From Watermark, by The whole thing felt like the flight to Egypt, with her playing both the woman Joseph Brodsky)
- с. Б ... me<sub>1</sub> [my namesake and the donkey]<sub>2</sub>  $\frac{t_1}{t_1}$  playing  $t_2$
- \*... me my namesake and the donkey playing.

### Pseudogapping

One (or more) remnant(s); auxiliary verb.

- 6) ģ Air', by David Roberts, National Geographic Adventure 1.3) It took me as long to second as it had Conrad to lead. (From 'Out of Thin
- Þ ...as it had Conrad<sub>1</sub> [PRO to lead]<sub>2</sub>  $\frac{1}{\nabla p}$  taken  $t_1$   $t_2$ ]
- c. \*...as it had Conrad to lead taken.
- ۵ It took me as long to climb the face as it had Conrad.

 $\overline{\mathbf{C}}$ 

- Þ ...as it had  $[\nabla_P \text{ taken } t_1 \text{ to climb the face}]$  Conrad<sub>1</sub>
- c. \*...as it had taken to climb the face Conrad.

#### Stripping

One remnant; no clausal material

- (8) ģ John bought a more expensive car for his daughter than for his wife.
- Ъ
- C \*...than for his wife he bought.
- (9) ē Gregory gave more Bs to the students than As.
- Þ ...than  $As_1$   $\frac{}{\ln P}$  he gave  $t_1$  to the students
- C \*...than As he gave to the students
- ...than he gave As to the students.

## Two accounts of remnant ellipsis

## Across the board movement

One way to solve the problem of remnant movement is to make it disappear. At first glance, this appears to be the strategy developed by Johnson (1996), who reanalyzes gapping as across-the-board movement of a verb or verb(al) phrase.

- (10)ā Some ate natto, and others rice.
- Þ [ $_{\rm IP}$  Some<sub>1</sub> ate<sub>2</sub> [ $_{\rm VP}$  [ $_{\rm VP}$   $t_1$   $t_2$  natto] and [ $_{\rm VP}$  others  $t_2$  rice]]
- (11)ģ and the child, and me my namesake and the donkey. The whole thing felt like the flight to Egypt, with her playing both the woman
- ō [pp with [pp her1 [pp playing2 [vp [vp  $t_1$   $t_2$  both the woman and the child] and [vp me  $t_1$  my namesake and the donkey]]]]]

conjunction-analysis of the comparative clause, an issue of some contention (see Lechner 2000, for recent discussion), they also require across the board movement of VPs, rather Examples like the comparative in (3a) are a bit trickier. Not only do they require a

(12)ā I suspect they have more to fear from us than we from them.

> ō we<sub>3</sub>  $_{[FP]}$  [from them]<sub>4</sub>  $_{[FP]}$   $t_3$   $t_1$ ]]]]] ... [IP they2 [VP have more to fear  $t_4$ ]1 [FP [FP [from US]4 [FP  $t_2$   $t_1$ ]] than [FP

many of the most puzzling properties of gapping in an elegant way (see Johnson 1996 for Assuming these issues can be worked out, the strength of the ATB analysis is that it explains full discussion) — essentially by denying that gapping involves ellipsis at all!

of VP licence XP movement out of that VP that would normally be impossible central question we started out with ends up just being restated: why does ATB-movement needs to assume a conjunct-internal scrambling operation — i.e., remnant movement. So the in order to account for cases like (12a), in which more than just a verb is gapped, Johnson But does it solve the problem of remnant movement? I don't think so. As shown in (12b),

to the parallel elements in the antecedent clause (cf. the examples in (14)). discussed in Hankamer 1979, which show that the remnants in gapping must be non-identical An additional puzzle for the ATB analysis comes from examples like those in (13), originally

- (13)ā, \* John plays tennis with Sally, and Harry tennis with Sue.
- \* John ate the rice, and Harry the rice
- (14)۵ John plays tennis with Sally, and Harry squash with Sue
- John ate the rice, and Harry the beans

contrastive focus relation with their correspondents in the antecedent, a condition that is not met in the Hankamer examples. As Johnson notes, gapping is subject to a constraint requiring the remnants to be in a

properties of focus/parallelism. This predicts that the non-gapped structures corresponding On a Johnson-style analysis, then, the data in (13) must be explained in terms of general to (13a) and (13b) should also be degraded.

This seems to be (mostly) true for (13b), but it is definitely not true for (13a)

- (15)9 John plays tennis with Sally, and Harry plays tennis with Sue.
- ?? John ate the rice, and Harry ate the rice.

### Leaving the verb(s) behind

the remnant movement problem. Lasnik proposes that pseudogapping involves ordinary A-movement to case positions, but that the verb remains in the VP, which is then delted. Lasnik 1999 develops an approach to pseudogapping that represents a different solution to

- (16)ပုံ မ The Red Sox tallied more errors than they did runs
- ...than  $[_{\text{IP}} \text{ they}_1 \ [_{\text{TP}} \text{ did} \ [_{\text{Agrop}} \text{ runs}_2 \ [_{\text{VP}} \ t_1 \ \text{tally} \ t_2]]]]$

suggests that in fact only the formal features of the verb move in pseudogapping, because its formal features — would have to raise out of VP in order to license object shift. Lasnik Normally this sort of derivation would be impossible, because the main verb —

• Normally movement of features only violates generalized pied-piping, which is a PF constraint.

Because the source of the violation (the verb) is deleted, no such violation is incurred (cf. Kennedy and Merchant 2000).

Lasnik's analysis could conceivably be extended to gapping, though we would need to explain why the normal requirement that  $\mathbf{T}^0$  be morphologically realized can be violated here.

- (17)9 The Red Sox tallied more errors than the Yankees, runs.
- ō, ...than  $[_{1P}$  the Yankees<sub>1</sub>  $[_{TP}$   $\emptyset$   $[_{AgrOP}$  runs<sub>2</sub>  $[_{\nabla P}$   $t_1$  tally  $t_2]]]$

be a remnant in RE. This seems to make the role of case assignment essentially vacuous. requiring pretty much everything to move to case positions, since pretty much any XP can There are (at least) two problems with Lasnik's analysis. The first is that it ends up

- (18)It took me as long to second as it had Conrad to lead
- ...as [1p it had [Agrop Conrad\_1 [Agrop [PRO to lead]]  $\sqrt{p \text{ taken } t_1 t_2}$ ]]

The second problem is that it needs to allow "long distance" A-movement in order to deal with cases like (19a); this seems undesirable.

- (19)ģ Sue recommended that I buy Microsoft, and Tina did GE.
- Ď. [ $_{
  m IP}$  Tina $_1$  did [ $_{
  m Agrop}$  GE $_3$  [ $_{
  m VP}$   $t_1$  recommend [ $_{
  m CP}$  that I buy  $t_3$ ]]]

unaccusative verbs is not very good, which would follow if such verbs don't actually allow However, there are a couple of arguments in Lasnik's favor. First, pseudogaping with object agreement projections

- (20)þ ?? Sue was expected to attend Northwestern, and Mary was NYU.
- \* [ $_{\rm IP}$  Mary<sub>1</sub> was [ $_{\rm Agrop}$  NYU<sub>2</sub> [ $_{\rm VP}$  expected [ $_{\rm IP}$   $t_1$  to attend  $t_2$ ]]]]

Second, ECM subjects seem to block pseudogapping

- (21)þ Mary wants to invest in Apple, and Sue does Microsoft
- ġ.  $[_{
  m IP} \ {
  m Sue}_1 \ {
  m does} \ [_{{
  m Ag}:{
  m OP}} \ {
  m Microsoft}_2 \ {
  m fvp} \ t_1 \ {
  m want} \ [_{
  m IP} \ {
  m PRO} \ {
  m to} \ {
  m invest} \ {
  m in} \ t_2 ]]]]$
- (22)ā \* Mary wants me to buy Apple, and Sue does Microsoft

 $[_{
m IP}$  Sue<sub>1</sub> does  $[_{
m Agrop}$  Microsoft<sub>2</sub>  $[_{
m VP}$   $t_{
m I}$  want  $[_{
m IP}$  me to buy

Even if these facts are taken as arguments for Lasnik's analysis of pseudogapping, however, they can also be used to show that  $\underline{\text{gapping}}$  must be different:

- (23)Sue was expected to attend Northwestern, and Mary, NYU
- (24)I have these three finacial advisors, Mary, Sue and Phil. Apple; Sue, Microsoft; and Phil, IBM. What should I do? Mary wants me to buy

### Summary

The two analyses presented here are definitely successful at explaining at least part of the RE data in principled ways, but neither fully resolves the problem of remnant ellipsis.

- Since a Johnson-style analysis does require at least some scrambling of remnants, the central question of why this movement is possible just in gapping remains open.
- A Lasnik-style anlaysis may account for remnant movement (or rather lack of verb movement) in pseudgapping, but the problem of remnant movement remains for gapping (and stripping)

### More N than N comparatives

A particularly clear example of the problem of remnant movement comes from more N than N comparatives (or more precisely, more N than Ncomparatives) like the following:

- (25)ģ The Red Sox tallied more errors than runs
- More linguists attended the meeting than historians

Ď.

- c I gave more apples to Sue than bananas.
- (26)à The Red Sox tallied more errors than [cp runs<sub>1</sub> fip they tallied t.]
- þ. More linguists attended the meeting than [CP] historians, [CP] attended the meeting.
- c I have more apples to Sue than  $[c_P]$  bananas $[c_P]$  bananas  $[c_P]$
- (27)<u>ب</u> \*The Red Sox tallied more errors than [ $_{\mathbb{CP}}$  runs<sub>1</sub> [ $_{\mathbb{IP}}$  they tallied  $t_1$ ]
- Ď. \*I gave more apples to Sue than  $\lfloor_{\mathrm{CP}}$  bananas<sub>1</sub>  $\lfloor_{\mathrm{IP}}$  I gave  $t_1$  to her  $\rfloor$

These facts are problematic for either an ATB or Lasnik-style analysis

#### 4.1 Evidence for ellipsis

There are several pieces of evidence in favor of a stripping analysis of these constructions.

- 1. An ellipsis analysis should at least be *possible*, given the fact that (25a)-(25c) all have non-elided counterparts, and English has an operation of stripping independently.
- (28)<u></u>р. а The Red Sox tallied more errors than they tallied runs.
- More linguists attended the meeting than historians attended the meeting.
- I gave more apples to Sue than I gave bananas to Sally.

С

- 2. As shown in Keenan (1987), if *more N than N* comparatives are analyzed either as in (30a) or (30b) (see Napoli 1983), then they would have to be non-conservative.
- (29)More students than teachers are vegetarians.
- (30)ą.  $\lfloor_{DP} \rfloor_{D}$  more students than  $\lfloor_{NP}$  teachers
- ġ.  $\lfloor_{DP} \rfloor_{D}$  more than teachers  $\rfloor \lfloor_{NP}$  students  $\rfloor$

(31) Conservativity

A D d is semantically conservative iff for all Ns P and Q, [d Ps] are Qs if and only if [d Ps] are both Ps and Qs.

- (32) a. Most students are vegetarians.
- b. Most students are both students and vegetarians.
- (33) a. More students than teachers are both students and vegetarians.
- b. More students than teachers are both teachers and vegetarians

Keenan takes this as evidence for a "multiply headed" analysis of  $more\ N$  than N comparatives, but the problem of conservativity is also solved by an ellipsis analysis.

- (34) a.  $[_{DP} [_{D} \text{ more than } [_{CP} \text{ teachers}_{1} [_{IP} \text{ are vegetarians}]]] \text{ students}]$
- b. [Some number of students greater than the number of teachers who are vegetarians] are both students and vegetarians.
- **3.** The fact that the *than*-constituent can be postposed from subject position suggests that it is (underlyingly) clausal simple prepositional phrases do not readily postpose.
- (35) a. More students than teachers are vegetarians.
- b. More students are vegetarians than teachers.
- (36) a. Most students from India are vegetarians.
- b. ?? Most students are vegetarians from India.
- 4. In antecedent-containment contexts,  $more\ N$  than N comparatives show a similar distribution to deleted VPs.
- (37) a. I received reports on every student you did.
- b. \*I received Kim's reports on every student you did.
- a. I received reports on more students than teachers.

(38)

b. \*I received Kim's reports on more students than teachers.

Crucially, (38a) has a reading that (38b) does not have: the number of students than I received reports on is greater than the number of teachers than I received reports on. (38b) only has a reading in which the comparison is local to the NP.

5. The main verb agrees with the first noun, never the second noun.

- (39) Italian
- a. Più ragazze che ragazzi sono partite/\*partiti more girls-FEM than boys-MASC are left-FEM/\*left-MASC 'More girls than boys left.'
- Più ragazzi che ragazze sono \*partite/partiti more boys-MASC than girls-FEM are \*left-FEM/left-MASC 'More girls than boys left.'
- 6. Case matching effects (cf. Merchant 1999).

## 4.2 The problem of movement

The data discussed in the previous section provide strong arguments in favor of a stripping analysis of  $more\ N$  than N comparatives, but as we have already seen, the necessary movement is impossible if ellipsis does not apply.

- (40) a. The Red Sox tallied more errors than [CP] runs, [CP] tallied runs,
- b. \*The Red Sox tallied more errors than  $[c_P \text{ runs}_i [p \text{ they tallied } \frac{\text{runs}_i}{\text{runs}_i}]$

In Kennedy to appear, the impossibility of movement in such examples is accounted for in terms of constraint ranking: movement in comparatives is licensed only if it feeds deletion.

- (41) a. DELETE: Omit from the phonological representation.
- b. STAY: Do not move.
- c. DELETE ≫ STAY

This analysis accounts for the difference between examples of *comparative deletion* like (42) and *comparative subdeletion* like (43).

(42) The Red Sox tallied more errors than the Yankees tallied (\*errors).

*		$\sqrt{\text{more errors than }}_{\text{CP}} \xrightarrow{\text{errors }} [_{\text{IP}} \text{ the Yankees tallied } \xrightarrow{\text{errors}}]$
*	*	more errors than $[C_P]$ errors $[C_P]$ the Yankees tallied errors $[C_P]$
.*	*	more errors than [CP errors [IP the Yankees tallied errors]]
*	*	more errors than $[_{CP}$ errors $[_{IP}$ the Yankees tallied $\frac{crrors}{}]]$
	.*	more errors than $[_{CP}$ $[_{IP}$ the Yankees tallied errors]]
STAY	DELETE STAY	

Table 1: Comparative deletion

(43) The Red Sox tallied more errors than they tallied runs.

d. moi	c. moi	b. moi	a. <sub>1</sub>	
e errors	e errors	e errors	/ more ei	
than [,	than [,	than [,	rors t	
$_{ m CP}$ runs	<sub>CP</sub> <del>runs</del>	CP runs	han [ <sub>CP</sub>	
[IP the	$[_{\rm IP}$ the	IP the	IP the	
more errors than $[CP]$ runs $[IP]$ they tallied runs	more errors than $[CP]$ runs $[IP]$ they tallied runs	more errors than $[CP]$ runs $[IP]$ they tallied runs	$^\prime$ more errors than $[{}_{ m CP}$ $[{}_{ m IP}$ they tallied runs	
$\operatorname{uns}]]$	$\operatorname{uns}]]$	<del>uns</del> ]]	$\operatorname{uns}]]$	
*	*	*	*	DELETE STAY
*	*	.*		STAY

Table 2: Subdeletion

This analysis explains quite a few open problems in the syntax of comparatives, but it clearly seems to predict that remnant movement should be impossible. So we're back to the question we started with: why does ellipsis license remnant movement?

### ŭ Remnants and recoverability

## Remnants are unrecoverable

comparatives outlined above presupposes a constraint along the lines of (44a) The answer, I think, has to do with a constraint on RECOVERABILITY. The analysis of

- (44)9 REC(OVERABILITY): Deletions must be recoverable.
- Ö REC  $\gg$  DELETE  $\gg$  STAY

This is what rules out a candidate representation for subdeletion parallel to the (optimal) (e) candidate in Table 1: such a candidate unrecoverably deletes runs from the comparative

(45)The Red Sox tallied more errors than they tallied runs

*		*	e. more errors than [CP runs [IP they tallied runs]]
*	*		d. more errors than [CP runs [IP they tallied runs]]
.*	*		c. more errors than [CP Fune [IP they tallied runs]]
.*	*		b. more errors than [CP runs [IP they tallied runs]]
	*		a. $\sqrt{\text{more errors than } [CP [IP they tallied runs]}]$
STAY	REC DELETE STAY	REC	

Table 3: Subdeletion revisited

of lower ranked constraints. If RECOVERABILITY is a (highly-ranked) grammatical constraint, then it should interact with other constraints. In particular, we should find contexts in which it forces violations

- Run-of-the mill subdeletion is one such context: RECOVERABILITY licenses violations
- Remnant ellipsis is another such context: RECOVERABILITY licenses violations of STAY.
- (46)The Red Sox tallied more errors than runs

more errors than $[_{\rm CP}$ fip they tallied runder $\sqrt{\rm more}$ errors than $[_{\rm CP}$ runs $[_{\rm IP}$ they tallied runder $\sqrt{\rm more}$ errors than $[_{\rm CP}$ runs $[_{\rm IP}$ they tallied runder $\sqrt{\rm more}$
/ tallied runs] / tallied runs]
REC *!
*

Table 4: Comparative stripping

This analysis extends to all the cases we have considered so far — gapping, pseudogapping and stripping — as well as other cases (like multiple wh-remnants in sluicing). Table 5 illustrates the analysis of the gapping example from  $Moby\ Dick$ .

(47)At times, for longest hours, without a single hail, they stood far parted in the starlight; Ahab in his scuttle, the Parsee by the mainmast; but still fixedly gazing upon each other; as if in the Parsee Ahab saw his forethrown shadow, in Ahab the Parsee his abandoned substance.

*		$\sqrt{[P]}$ in A $[P]$ the $P_1$ $[P]$ his abandoned substance $[P]$ the saw $[P]$	c.
*	*	$[_{ ext{IP}}  ext{ in A } [_{ ext{IP}}  ext{ the P}_1  ext{ } rac{t_1  ext{ saw his abandoned substance}}]]$	b.
	<u>*</u>	$[_{ m IP}$ in A $[_{ m IP}$ the $^{ m P}$ saw his abandoned substance]	a.
STAY	REC		

Table 5: Gapping

satisfaction of RECOVERABILITY will be tolerated. This analysis also explains the Hankamer facts, since only movement necessary for the

- (48)<u>ب</u> \* John plays tennis with Sally, and Harry tennis with Sue.
- John plays tennis with Sally, and Harry with Sue.
- (49)John plays tennis with Sally, and Harry squash with Sue.

**	*	$[_{ m IP} \ { m Harry}_1 \ [_{ m IP} \ { m with Sue}_3 \ rac{1}{1{ m P}} \ t_1 \ { m plays} \ { m squash} \ t_3]$	þ.
**		$\sqrt{[_{\rm IP}\ {\rm Harry_1}\ [_{\rm IP}\ {\rm squash_2}\ [_{\rm IP}\ {\rm with}\ {\rm Sue_3}\ [_{\rm IP}\ t_1\ {\rm plays}\ t_2\ t_3}]$	a.
*		$\sqrt{_{ m IP}}$ Harry <sub>1</sub> $_{ m IP}$ with Sue <sub>3</sub> $_{ m fp}$ $t_1$ plays tennis $t_3$	þ.
*		[ $_{ m IP}$ Harry $_1$ [ $_{ m IP}$ tennis $_2$ [ $_{ m IP}$ with Sue $_3$ [ $_{ m IP}$ $t_1$ plays $t_2$ $t_3$ ]	a.
STAY	REC		

Table 6: The Hankamer facts

#### 5.2Constraining deletion

why are both the RE and non-elided variants in (50)-(52) grammatical? We now have a new problem: why doesn't DELETE make ellipsis obligatory? Specifically,

- (50)ġ. doned substance. In the Parsee Ahab saw his forethrown shadow; in Ahab the Parsee his aban-
- ġ. abandoned substance. In the Parsee Ahab saw his forethrown shadow; in Ahab the Parsee saw his
- (51)ġ. It took me as long to second as it had Conrad to lead.
- Ď. It took me as long to second as it had taken Conrad to lead
- Ç b The Red Sox tallied more errors than runs

(52)

The Red Sox tallied more errors than they tallied runs

If (52a) and (52b), for example, are compared for well-formedness, then only (52a) should be grammatical, since it best satisfies DELETE

b.	a.	
<b>V</b>		
more errors than	more errors than	
[CP runs	than [cı	
ց [դ	P [IF	
the	the	
•	y t	
<del>r tallied ı</del>	allie	
d r	d rı	
ms		
		H
		REC
*	*	DELETE
*		STAY

Table 7: Unconstrained deletion

The answer, of course, must be that (52a) and (52b) are (in effect) *not* compared — the must have different inputs. But how can we derive this result in a principled way?

The answer has to do with the syntactic representation of ellipsis, specifically with Merchant's 1999 proposal that elided constituents bear an "E-feature", whose semantic interpretation is as in (53).

- (53)  $\llbracket \mathbf{E} \rrbracket = \lambda p$ : P is E-GIVEN.p
- (54) E-GIVENness

An expression  $\epsilon$  counts as E-GIVEN iff  $\epsilon$  has a salient antecedent  $\alpha$  and, modulo existential type-shifting:

- a.  $\alpha$  entails F-clo( $\epsilon$ ), and
- b.  $\epsilon$  entails F-clo( $\alpha$ ).

Where F-clo(X) is the result of replacing F(ocus)-marked parts of X with existentially bound variables of the appropriate type.

- (55) a.  $\left[\alpha\right]$  the Red Sox tallied more ERRORS] than  $\left[\alpha\right]$  runs  $\left[\alpha\right]$  they tallied runs  $\left[\alpha\right]$
- b.  $F-clo(\alpha) = \exists x[\text{the Red Sox tallied } x]$
- c.  $F-clo(\epsilon) = \exists x [\text{the Red Sox tallied } x]$

In Merchant 1999, the E-feature is interpreted differently at the two interfaces: at LF, it introduces the identity requirement in (53); at PF, it triggers deletion (non-insertion of lexical material).

In the framework I've outlined here, however, the discourse/semantic properties of the E-feature are all we need (and we need them anyway):

- A constituent that bears the E-feature is recoverable.
- The grammar favors representations that maximize deletion (i.e., that best satisfy DELETE).
- Therefore, a constituent that bears the E-feature <u>must</u> be deleted (all other things being equal).
- In contrast, a constituent that does not bear the E-feature may not be deleted (all other things being equal), because doing so would violate recoverability no information is supplied to the discourse/semantic component indicating that the content of the constituent is already present in the (linguistic) discourse.

b'	a'. $\sqrt{\dots} \left[ \mathbf{x}_{P} \dots \mathbf{X} \dots \right] \dots \left[ \dots \right]$	b. $\sqrt{[xp_{ E }X]]}$	a $[x_{P[E]} \dots X \dots]$	REC DE
	*		.*	EC DELETE

Table 8: Ellipsis

In other words: deletion of a constituent XP is obligatory whenever XP is grammatically marked as redundant.

		*	more errors than $[CP] = \{PP \mid PP \mid PP \mid PP \mid PP \mid PP \mid PP \mid P$	c'.
*	*		$\sqrt{\text{more errors than }_{\text{CP}} \text{ runs }_{\text{IP}_{\text{E}}} \text{ they tallied runs}]}$	b'.
	*		more errors than $[{}_{\mathrm{CP}}$ $[{}_{\mathrm{IP}[\mathrm{E}]}$ they tallied runs]]	a'.
		.*	more errors than [cp [n they tallied runs]]	c.
*	*	. <u>*</u>	more errors than $[c_P \text{ runs } \{p \text{ they tallied runs}\}]$	b.
	*		$\sqrt{ m\ more\ errors\ than\ [_{CP}\ [_{IP}\ they\ tallied\ runs]]}$	a.
STAY	REC DELETE STAY	REC		

Table 9: Constrained deletion

## 5.3 Movement and recoverability

If this analysis is on the right track, then it suggests a new way of thinking about the relation between ellipsis and movement: both involve recoverable deletions, but the nature of the recoverability is different in the two cases:

- In ellipsis, by the E-feature (or some equivalent)
- In movement, by the copy operation.

That is, elements of movement chains are recoverable by virtue of being copies.

This analysis does not say anything about *which* copie(s) should be deleted — upstairs or downstairs ones — this is presumably determined by a separate mechanism/feature/parameter.

Since the way in which recoverability ends up being satisfied in the two cases is different, we might expect that the licensing requirements for deletion in the two cases are different (e.g., being a literal copy vs. being E-GIVEN; cf. Williams 1977; Kennedy 1998).

### 6 Characterizing recoverability

Chomksy (1965, p. 144) characterizes recoverability as follows (cf. Hankamer 1979).

### (56) Recoverability 1965

A deletion operation can eliminate only a dummy element, or a formative explicity mentioned in the structure index (for example, you in imperatives), or the designated representative of a category (for example, the wh-question transformations that delete Noun Phrases are in fact limited to indefinite Pronouns...), or an element that is otherwise listed in the sentence in a fixed position.

This requires a "global" approach to recoverability. The analysis I've outlined here involves a more "local" sort of recoverability, but it is clearly directly connected to the final clause of Chomsky's characterization:

## (57) Recoverability 2000 (prototype)

A constituent X satisfies RECOVERABILITY iff X is syntactically marked as redundant.

This doesn't seem quite right yet, but the idea is that it should be possible to evaluate RECOVERABILITY for any XP without having to look elsewhere in the sentence/discourse (and that this is preferable to initiating a search); clearly the E-feature and the formal structure of chains allows us to do this.

#### 7 Conclusion

#### Remnants

- The possibility of movement in remnant ellipsis follows from the interaction of two ranked constraints: RECOVERABILITY and STAY.
- Remnant movement is optimal because not to move would violate RECOVERABILITY.
- Only unrecoverable remnants may move.

#### Recoverability

- Recoverability regulates deletion:
- in ellipsis, through the E-feature,
- in movement, through the copy operation.
- Recoverability is calculated locally, by checking the grammatical features of individual constituents.

#### Questions

- What about deaccenting?
- What about the precedence constraint on ellipsis?
- Do we really need the E-feature?
- Is recoverability a single constraint?

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